

Service Provider Disclosure Form

Service Provider Name: **Anchin, Block and Anchin LLP**

- Is the service provider or subcontractor affiliated with a Participant, Processor, Administrator, or member of the Advisory Committee? **No**
 - If yes, disclose with whom the person is affiliated and describe the nature of the affiliation.

- If the service provider's or subcontractor's compensation is on a commission basis or is tied to specific metrics, provide a detailed narrative summary of how compensation is determined for performing work on behalf of the Plan. **We have an outlined engagement letter. We bill the Plan based on our staffs hourly standard rates.**

- Is the service provider or subcontractor subject to policies and procedures (including information barriers) concerning the protection of confidential information that includes affiliates? **Yes. We have confidentiality provisions in our engagement letter, employment contracts and employee handbook.**
 - If so, describe. If not, explain their absence.

- Does the service provider or subcontractor, or its representative, have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with its responsibilities to the Plan? If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Plan. **No**
 - If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Plan.